

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number : 10/530,757 Confirmation No. 9005
Applicant(s) : Partha Neogi et al.
Filed : April 8, 2005
Tech Cntr/AU : (unassigned)
Examiner : (unassigned)
Entitled : Novel Heterocyclic Analogs of Diphenylethylene Compounds
Attorney Reference : 121633-05042134
Customer Number : 43569

MAIL STOP MISSING PARTS

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed **October 7, 2005**,
a copy of which is enclosed herewith, Applicant(s) submit the following:

REQUIRED PARTS OF APPLICATION

- ☐ Additional claim fees as itemized on the attached PTO/SB/17 (in duplicate);
- ☐ Filing, Examination and/or Search fees as itemized on the attached PTO/SB/17
(in duplicate);
- ☒ Late surcharge as itemized on the attached PTO/SB/17 (in duplicate);
- ☒ Declaration/Power of Attorney signed and dated by inventor(s) (10 pages);
- ☐ Substitute specification;
- ☐ Other:

ADDITIONAL DOCUMENTS SUBMITTED

- ☒ Assignment and Assignment Recordation Cover Sheet (6 pages);
- ☐ Supplemental Application Data Sheet;
- ☐ Information Disclosure Statement with PTO Form 1449;
- ☐ Certified copy of priority document;
- ☐ Preliminary Amendment;
- ☒ Petition for Extension of Time (PTO/SB/22) in duplicate;
- ☐ Other:

SMALL ENTITY STATUS

- ☒ Applicant(s) claim small entity status for this application.
- ☐ Applicant(s) **withdraws** the previous claim for small entity status, and respectfully requests that the U.S. Patent & Trademark Office change the status of this application to "Large Entity" status.


FEE AUTHORIZATION

- ☒ Authorization is hereby given to charge any deficient fee(s) as necessary to ensure the pendency of this application, or to credit any fee overpayments, to Deposit Account No. 503-121.
- ☒ Authorization is hereby given to charge the fees as itemized on the attached PTO/SB/17 to Deposit Account No. 503-121.
- ☒ Authorization is hereby given to charge the requisite extension of time fees as itemized on the attached PTO/SB/22 to Deposit Account No. 503-121.

The examination and allowance of this Application is respectfully requested.

Respectfully Submitted,

MAYER BROWN ROWE & MAW LLP

By: 
Paul L. Sharer
Registration No. 36,004

Intellectual Property Group
1909 K Street, N.W.
Washington, D.C. 20006
(202) 263-3000 Telephone
(202) 263-3300 Facsimile

Date: May 8, 2006

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

Complete if Known

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 65.00

Application Number 10/530,757
Filing Date April 8, 2005
First Named Inventor Partha Neogi et al.
Examiner Name (unassigned)
Art Unit (unassigned)
Attorney Docket No. 121633-05042134

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 503-121 Deposit Account Name: Mayer Brown Rowe & Maw LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims - 20 or HP = _____ x _____ = _____ **Fee Paid (\$)**
HP = highest number of total claims paid for, if greater than 20
Indep. Claims - 3 or HP = _____ x _____ = _____ **Fee Paid (\$)**
HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE


If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = _____ **Extra Sheets** / 50 = _____ **Number of each additional 50 or fraction thereof** (round up to a whole number) x _____ = _____ **Fee Paid (\$)**

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)
Other: Surcharge for filing late Declaration/Power of Attorney (Small Entity) \$ 65.00

SUBMITTED BY

Signature  Registration No. 36,004 Telephone (202) 263-3340
Name (Print/Type) Paul L. Sharer Date May 8, 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Rec'd PCT/PTO 8 MAY 2006



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/530,757	Partha Neogi	121633-05042134

INTERNATIONAL APPLICATION NO.

PCT/US03/31803

I.A. FILING DATE	PRIORITY DATE
10/08/2003	04/06/1999

Mayer Brown Rowe & Maw
 Intellectual Property Department
 1909 K Street N W
 Washington, DC 20006

CONFIRMATION NO. 9005

371 FORMALITIES LETTER



OC000000017073711

Date Mailed: 10/07/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/08/2005
- Copy of the International Search Report filed on 04/08/2005
- Preliminary Amendments filed on 04/08/2005
- Small Entity Statement filed on 04/08/2005
- U.S. Basic National Fees filed on 04/08/2005
- Priority Documents filed on 04/08/2005
- Specification filed on 04/08/2005
- Claims filed on 04/08/2005
- Abstracts filed on 04/08/2005
- Drawings filed on 04/08/2005

Docketed	
Mayer, Brown, Rowe & Maw LLP I.P. Group	
OCT 11 2005	
CL 121633	MT# 05042134
ATTY(S) PLS/SEM	
DUE Dec 7, 2005	
DKT By (1) D&D	(2)

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65** Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/530,757	PCT/US03/31803	121633-05042134